

STUDIES IN COMPUTER SCIENCE NO 14, DOCTORAL DISSERTATION

JOSEPH BUGEJA
**ON PRIVACY AND SECURITY
IN SMART CONNECTED
HOMES**



AGENDA



**INTRODUCTION AND
RESEARCH QUESTIONS**



RESEARCH METHODOLOGY



**CONCLUSIONS AND
FUTURE WORK**



**CONCEPTS AND
RELATED WORK**



CONTRIBUTIONS



INTRODUCTION AND RESEARCH QUESTIONS

HOME IS WHERE THE HEART IS

- Our home is a deeply meaningful and human place.
- It is a place where our fundamental physical needs are (expected to be) protected.
- The home is *the most powerful sign of the self of the inhabitant who dwells within*¹.



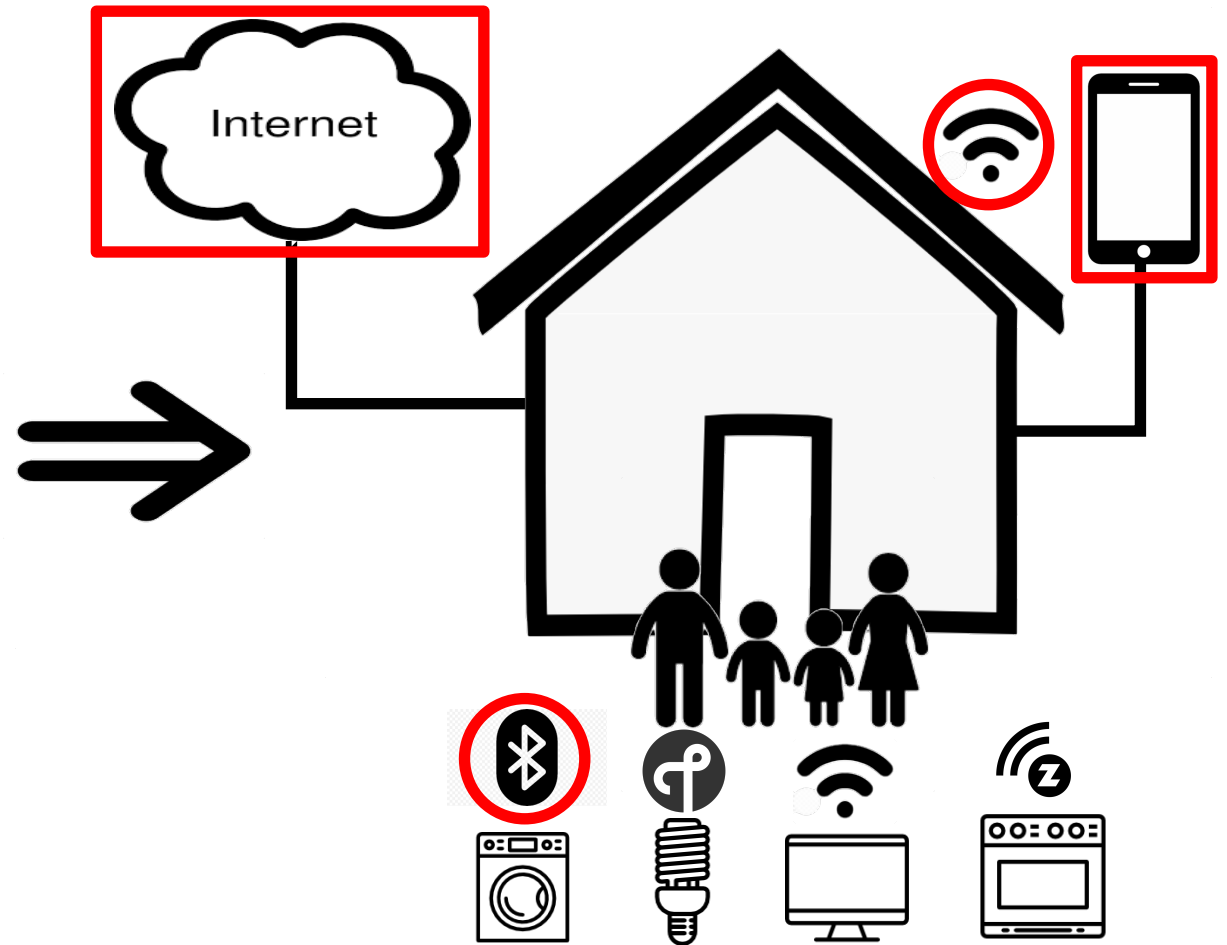
¹ Csikszentmihalyi & Rochberg-Halton, 1981. *The Meaning of Things: Domestic Symbols and the Self*.

EVOLUTION OF THE HOME

Traditional Home



Smart Connected Home



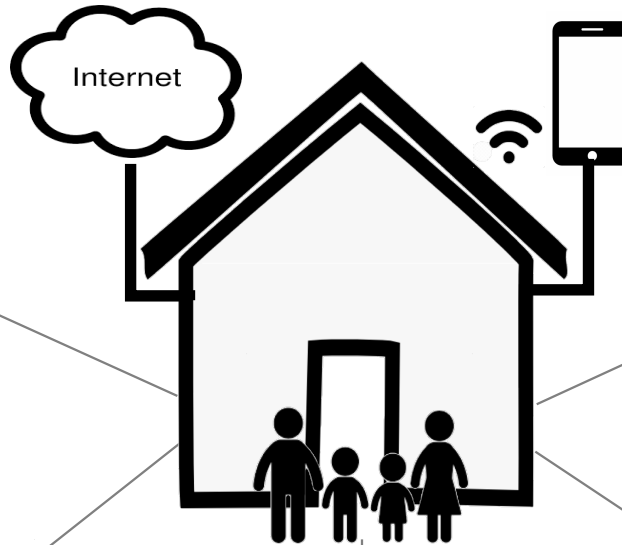
THE SMART CONNECTED HOME

August Smart lock

IFTTT

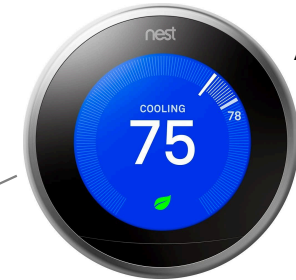


Door sensor



Google Nest

API, IFTTT



Temperature, Humidity, Proximity, Motion, Ambient light, Optical

Fitbit Smart Scale

API, IFTTT



Load sensors

Amazon Echo

API, IFTTT, Alexa



Microphone

Facebook Portal

Alexa, FB services (IG, WhatsApp, Messenger)

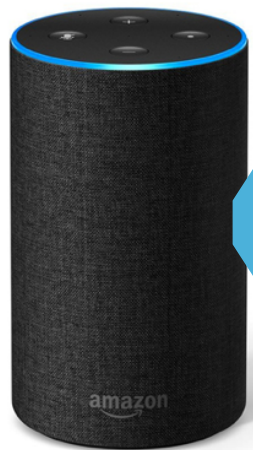


Microphone, Camera

PRIVACY BREACHES

Amazon Workers Are Listening to What You Tell Alexa

A global team reviews audio clips in an effort to help the voice-activated assistant respond to commands.



100M

Amazon Echo



Amazon Listening To What You Tell Alexa

Bloomberg, 2019.

SECURITY BREACHES

The Mirai botnet in 2016 used thousands of hijacked IoT devices, including smart home devices, to bring down the DNS provider Dyn



OVERARCHING RESEARCH QUESTION



How has the nature of privacy and security been transformed as the home got connected to the Internet?

RESEARCH QUESTIONS

- **RQ1:** How can smart connected home devices and the data collected by them be categorized?

- **RQ2:** How can smart connected homes be modeled to support threat identification?

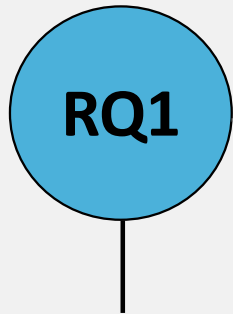
- **RQ3:** How can privacy and security risks affecting smart connected homes be modeled and analyzed?

- **RQ4:** What are the challenges in mitigating privacy and security risks in smart connected homes?



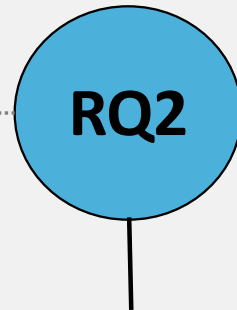
MOTIVATION

{assets}



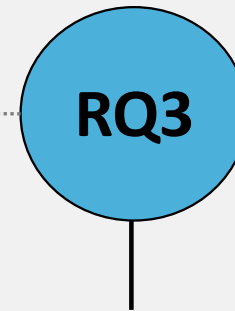
No reference taxonomy of smart home devices

{threats}



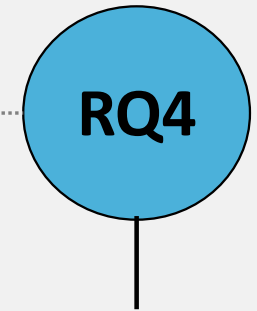
No standard representation of the smart connected home

{risks}



Shortage of methods for conducting risk analysis in IoT applications

{mitigations}



Lack of tools for addressing privacy issues during system design



CONCEPTS AND RELATED WORK

PRIVACY

- Warren and Brandeis identified privacy as *the right to be let alone*².

- Westin described privacy as *the claim of individuals, groups, or institutions to determine for themselves when, how, and to what extent information about them is communicated to others*³.

- Nissenbaum defined privacy as the appropriateness of information flows in defined contexts⁴.

² Samuel D Warren and Louis D Brandeis. *The Right to Privacy*. Harvard Law Review, page 193–220, 1890.

³ Alan F Westin. *Privacy and Freedom*. Atheneum, New York, 1967.

⁴ Helen Nissenbaum. *Privacy in Context: Technology, Policy, and the Integrity of Social Life*. Stanford University Press, 2009.

SECURITY

- Security is commonly described in terms of its objectives, namely, that of confidentiality, integrity, and availability.
- Other goals are often added to it, including that of privacy.
- Security is vital to ensure the safe development of our digital world.
- The concepts of security and privacy have some overlapping goals but they are not the same.

THREATS AND RISKS

- Threats are potential occurrences that may result in an unwanted outcome.
- Risks are the potential for a threat to cause harm to an asset.
- Risk analysis is the process used to identify risks.
- Risks can be mitigated through mechanisms.



RESEARCH METHODOLOGY

RESEARCH STRATEGIES AND DATA SOURCES

Survey

RQ1, RQ4

- Product databases and manuals
- Privacy policies
- Literature

Design and
Creation

RQ1 – RQ4

- Product databases and manuals
- Privacy policies
- Simulated data
- Vulnerability databases
- Reports and news articles
- Literature

Case Study

RQ3

- Vulnerability databases
- Reports and news articles
- Literature

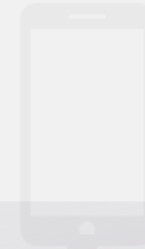
CONSIDERATIONS

- *Ethics*: passive vulnerability scanning, data redaction, authenticated and delayed scraping.
- *Reliability and validity*: multiple product databases, triangulation of data, sample size.
- *Generalizability*: Formal models and insights.

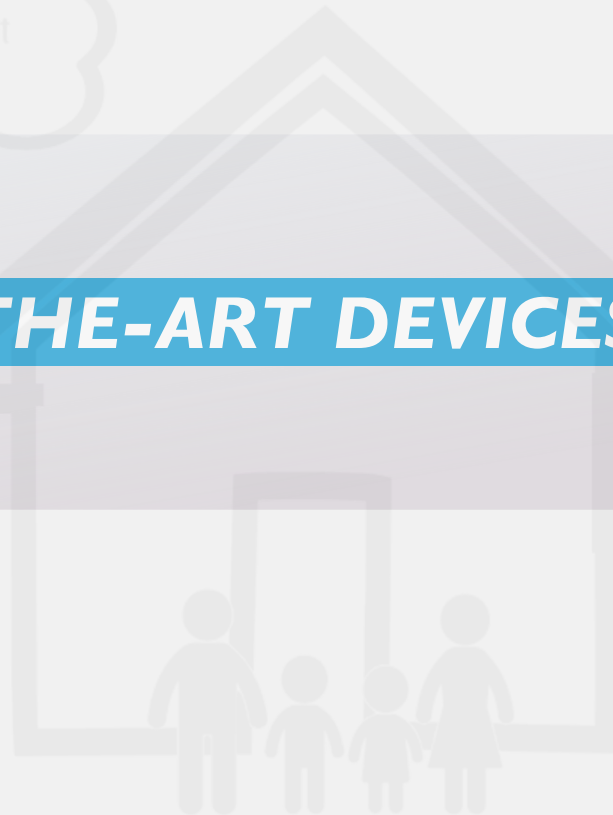




CONTRIBUTIONS



STATE-OF-THE-ART DEVICES AND DATA

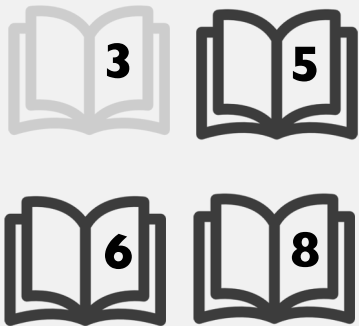


STATE-OF-THE-ART DEVICES AND DATA

RQ1

How can smart connected home devices and the data collected by them be categorized?

– Papers –

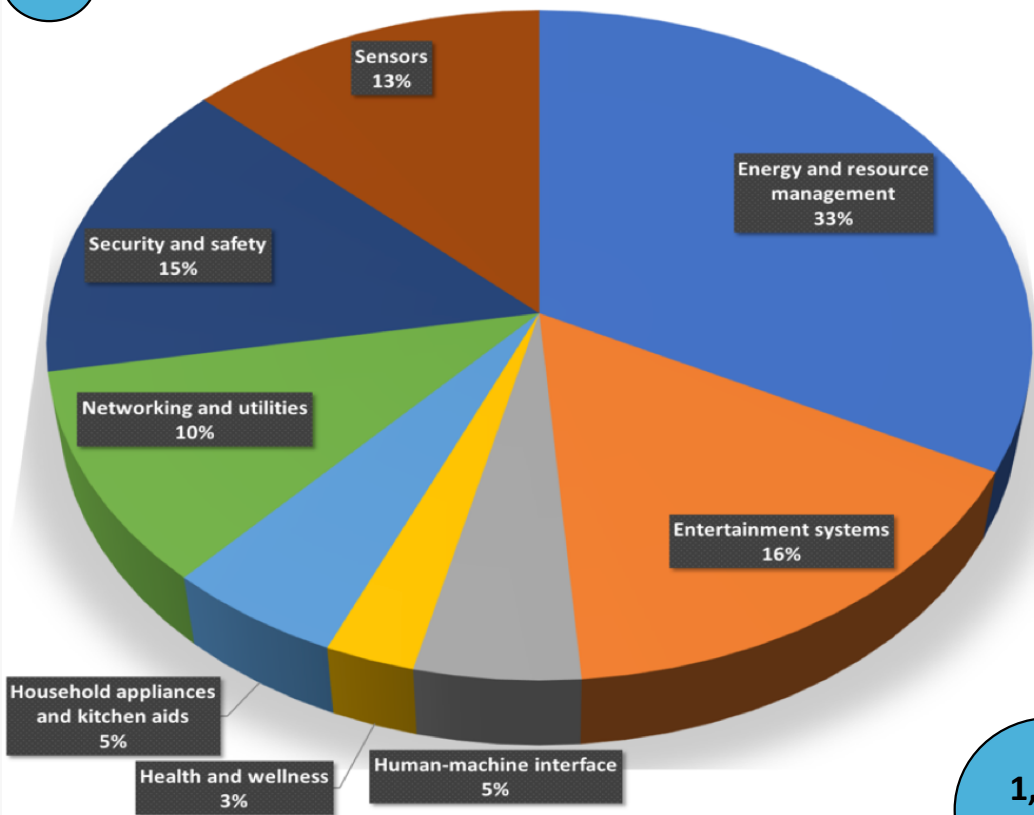


– Contributions –

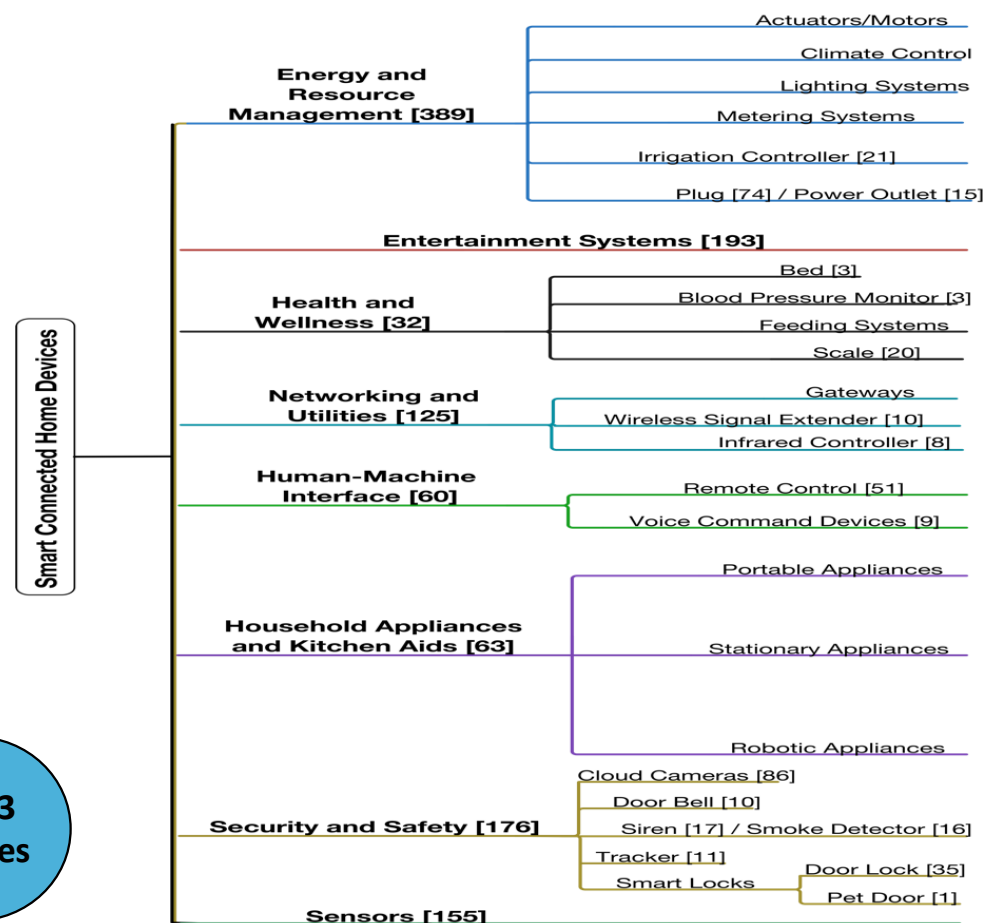
- [C1] Taxonomy and analysis of connected devices
- [C2] Classification and analysis of connected devices and their apps
- [C3] Analysis and classification of collected data

TAXONOMY AND ANALYSIS

[C1]

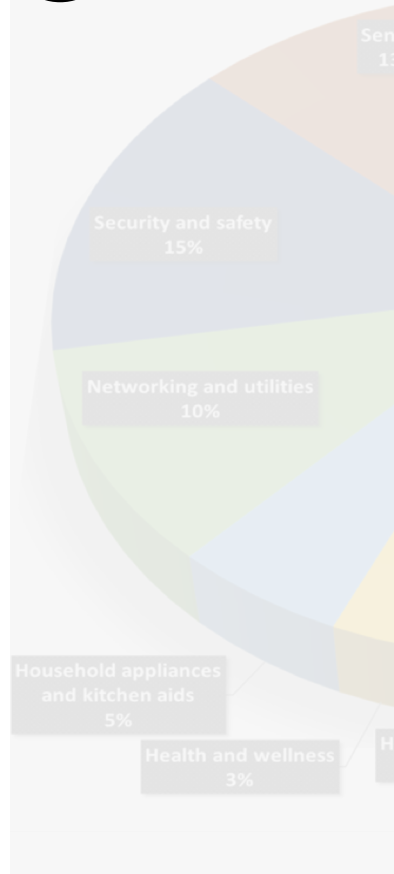
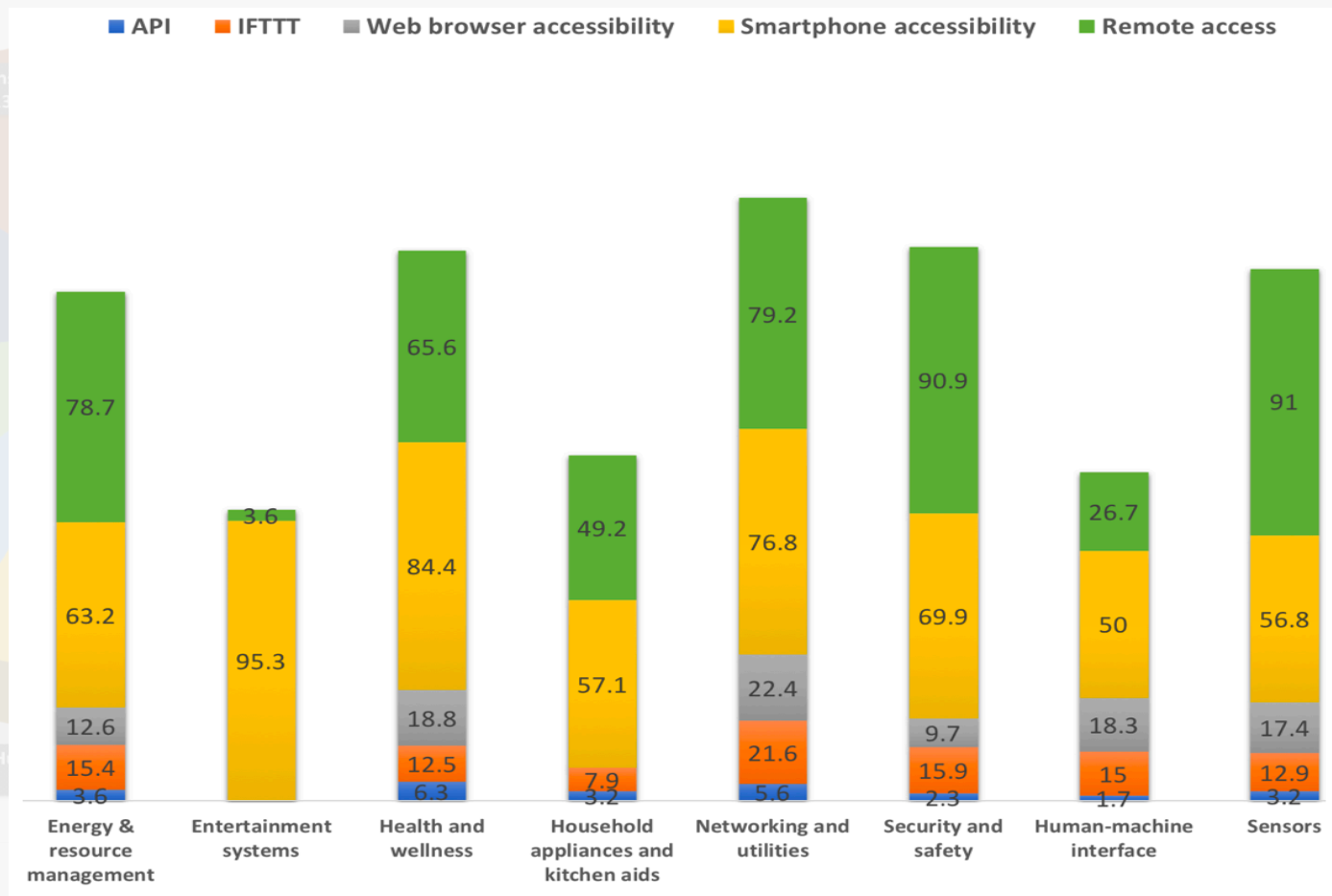


1,193 devices



TAXONOMY AND ANALYSIS

[C1]



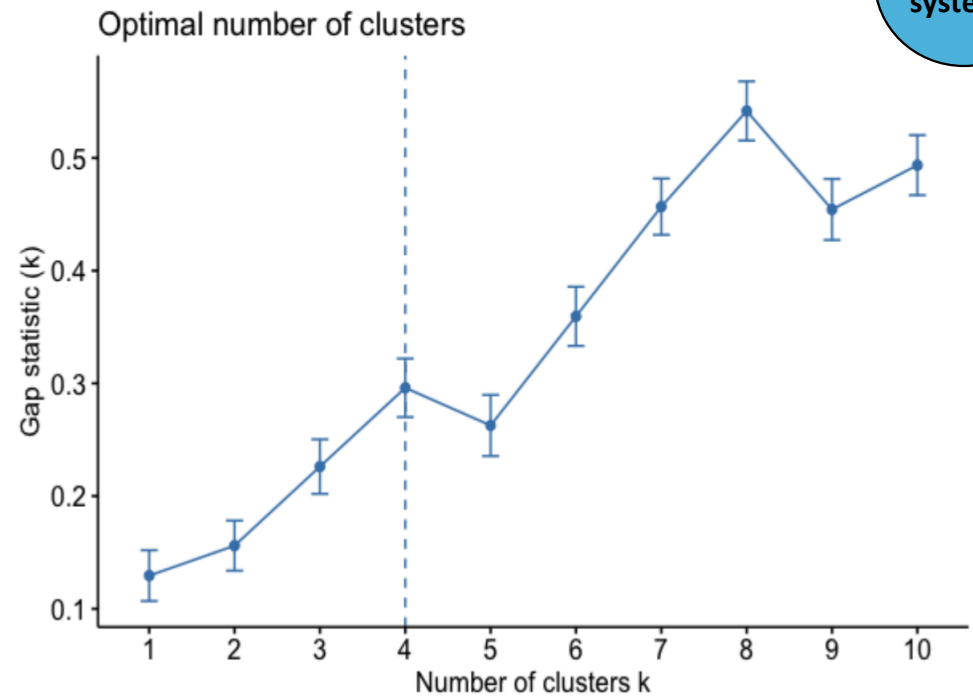
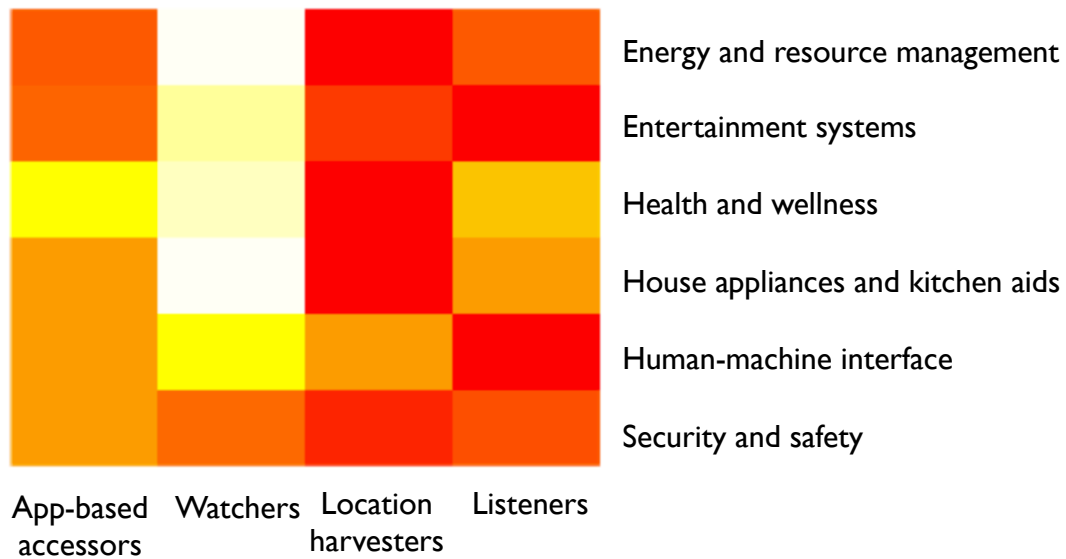
- Actuators/Motors
- Climate Control
- Lighting Systems
- Metering Systems
- on Controller [21]
- ig [74] / Power Outlet [15]
- [193]
- Bed [3]
- ood Pressure Monitor [3]
- Feeding Systems
- Scale [20]
- Gateways
- Signal Extender [10]
- Infrared Controller [8]
- remote Control [51]
- Command Devices [9]
- Portable Appliances
- Stationary Appliances
- Robotic Appliances
- eras [86]
- [10]
- 7] / Smoke Detector [16]
- 1]
- ocks
- Door Lock [35]
- Pet Door [1]



CLASSIFICATION OF SYSTEMS

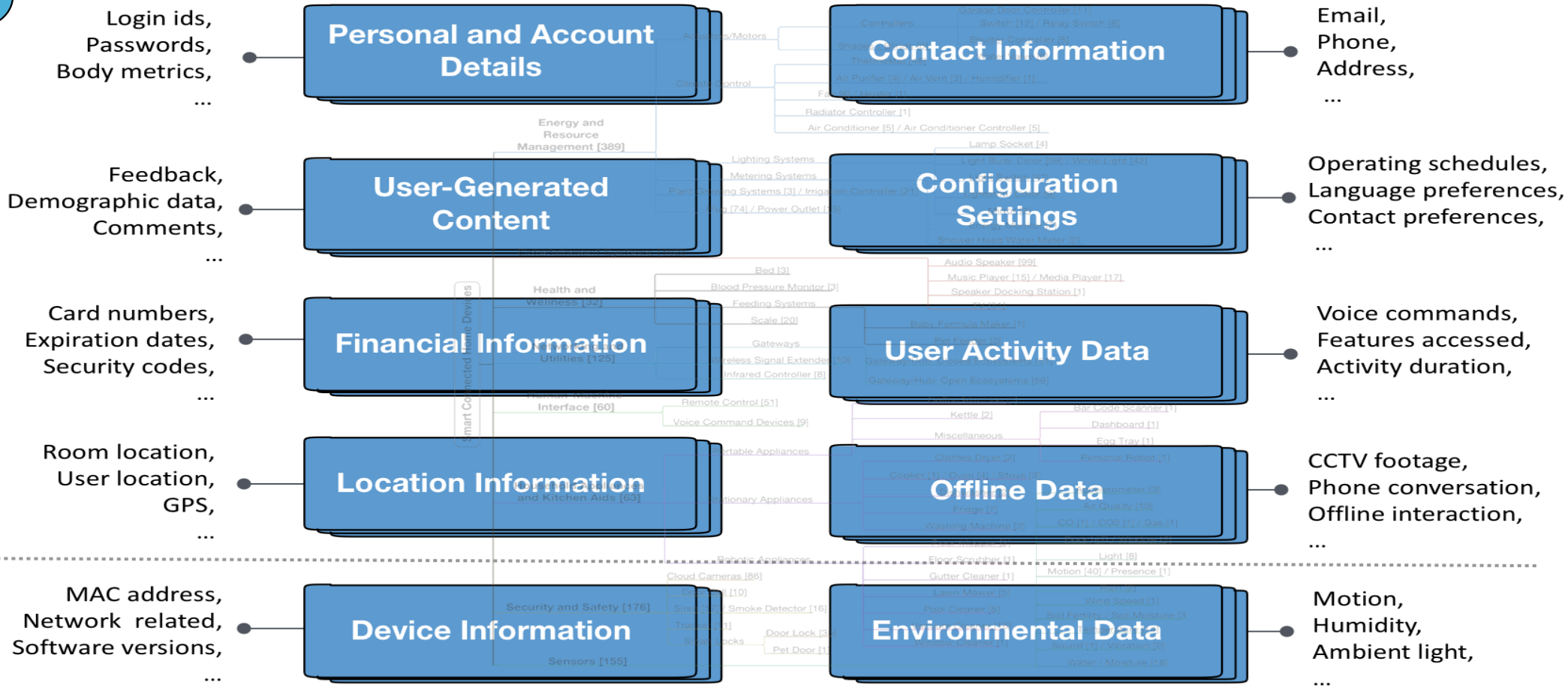
[C2]

81 systems

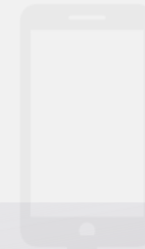


DATA CATEGORIZATION

[C3]



Bugeja, J., Jacobsson, A., Davidsson, P. (2018). An Empirical Analysis of Smart Connected Home Data (pp. 134–149). In: *Proceedings of the Internet of Things (ICIOT 2018). Lecture Notes in Computer Science*, vol 10972. Springer.



THREAT IDENTIFICATION AND ANALYSIS



THREAT IDENTIFICATION AND ANALYSIS

RQ2

How can smart connected homes be modeled to support threat identification?

– Papers –



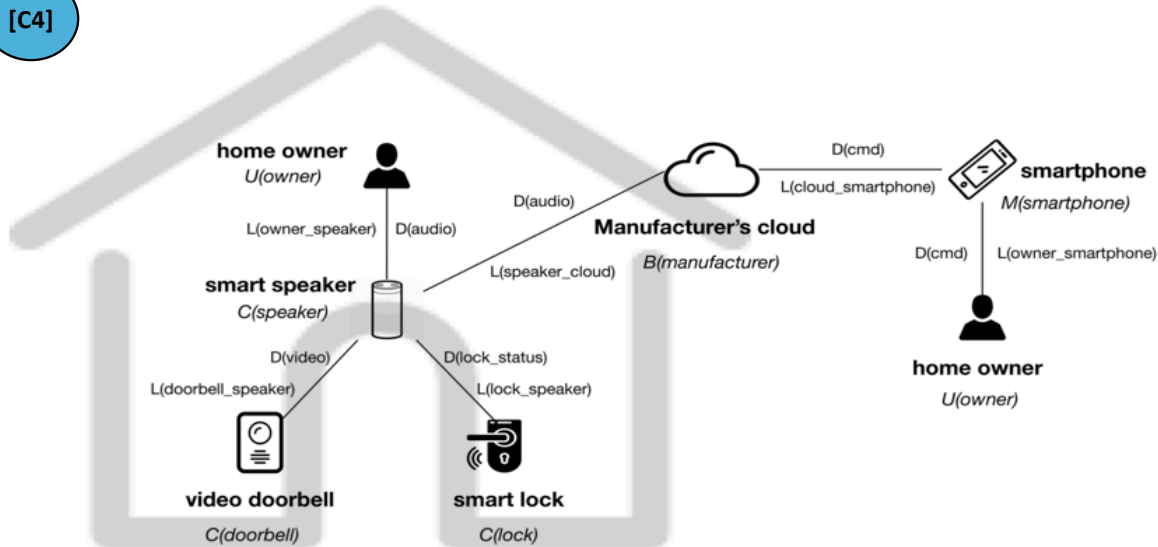
– Contributions –

[C4] Privacy-centered system model

[C5] Privacy-centered data lifecycle

PRIVACY-CENTERED SYSTEM MODEL

[C4]



Nodes, $N = \{\text{doorbell}, \text{lock}, \text{speaker}, \text{manufacturer}, \text{smartphone}\}$
 $C(\text{speaker}).\text{capabilities} = \{\text{gateway}, \text{storage}, \text{processing}, \text{interaction}\}$
 $B(\text{manufacturer}) = \text{cloud}$

Policy, $P =$
 $\{(\text{doorbell_speaker}, \{(\text{video}, \{\text{read}\})\}), \text{doorbell}, \text{speaker}, \emptyset\},$
 $(\text{lock_speaker}, \{(\text{lock_status}, \{\text{read}\})\}), \text{lock}, \text{speaker}, \emptyset\},$
 $(\text{speaker_cloud}, \{(\text{audio}, \{\text{read}\})\}), \text{speaker}, \text{manufacturer},$
 $\text{Time} = \{8 : 00 - 24 : 00\} \wedge \text{Location} = \{\text{house}\},$
 $(\text{cloud_smartphone}, \{(\text{cmd}, \{\text{read}\})\}), \text{smartphone},$
 $\text{manufacturer}, \emptyset\},$
 $(\text{owner_smartphone}, \{(\text{cmd}, \{\text{read}\})\}), \text{owner}, \text{smartphone}, \emptyset\},$
 $(\text{owner_speaker}, \{(\text{audio}, \{\text{read}\})\}), \text{owner}, \text{speaker}, \emptyset\}$

Identification



Localization and Tracking



Profiling



- Threat does not exist
- Threat is a potential future threat
- Threat is present

PRIVACY-CENTERED DATA LIFECYCLE

[C5]

Information privacy threats	Protection goals	Data generation	Data collection	Data processing	Data disclosure
Identification	Unlinkability	◐	◐	●	○
Localization and tracking	Unlinkability	◐	◐	●	○
Profiling	Unlinkability	○	◐	◐	●
Linkage	Unlinkability	○	◐	◐	●
Privacy-violating interaction and presentation	Confidentiality	●	○	○	●
Inventory attacks	Detectability	○	●	○	○
Lifecycle transitions	Transparency	○	●	○	○

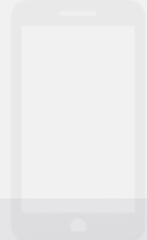
Bugeja, J., Jacobsson A. (2020). On the Design of a Privacy-Centered Data Lifecycle for Smart Living Spaces (pp. 126-141). In: Friedewald M., Önen M., Lievens E., Krenn S., Fricker S. (eds) *Privacy and Identity Management. Data for Better Living: AI and Privacy. Privacy and Identity 2019. IFIP Advances in Information and Communication Technology*, vol 576. Springer.



RQ2



Internet



RISK MODELING

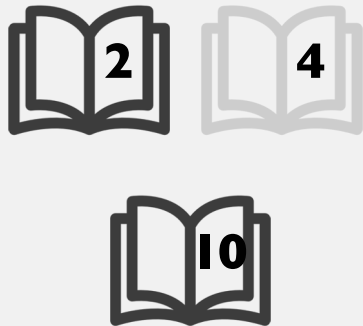


RISK MODELING

RQ3

How can privacy and security risks affecting smart connected homes be modeled and analyzed?

– Papers –



– Contributions –

[C6] Threat agent model

[C7] Framework for modeling and analyzing privacy risks

VULNERABILITIES IN CONNECTED CAMERAS

[C6]



{Threat Agent :: Hacker}

62. [REDACTED]

Property Name	Value
area_code	null
asn	AS6830
city	Gelsenkirchen
country_code	DE
country_code3	DEU
country_name	Germany
data.0._shodan.crawler	264b5a9d15a64f96a4768e9d8081t
data.0._shodan.id	null
data.0._shodan.module	rtsp-tcp
data.0.data	RTSP/1.0 200 OK CSeq: 1 Server: Hipcam RealServer/V1.0 Public: OPTIONS,DESCRIBE,SETUP,TEARDOWN,PLAY,SET_PARAMETER,GET_PARAMETER
data.0.domains	['unitymediagroup.de']

ip	104 [REDACTED]
ip_str	62. [REDACTED]
isp	Unitymedia
last_update	2018-03-20T19:29:37.676273
latitude	51.5221
longitude	7.0575
org	Unitymedia
os	null
ports	[554]
postal_code	[REDACTED]
region_code	07

High

CVE-2007-5213

Medium

CVE-2011-5261

Critical

CVE-2015-2887

National
Interests

Terrorism

Personal
Gain

Curiosity

Hackers

Thieves

Hacktivists

Competitors and Organized Crime

Terrorists

Nation States

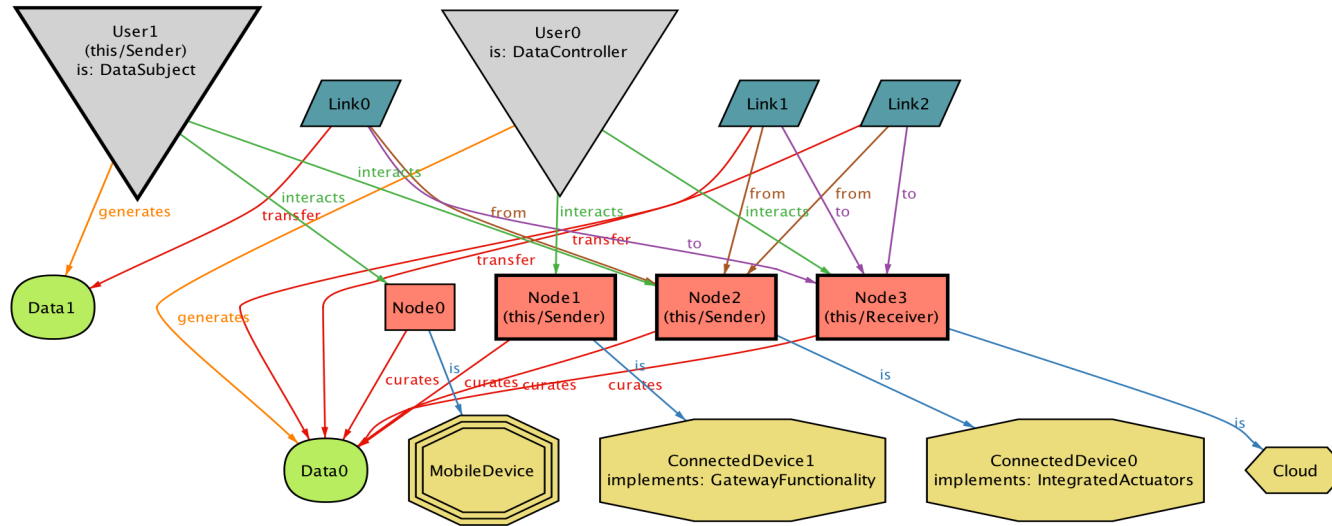


RQ3

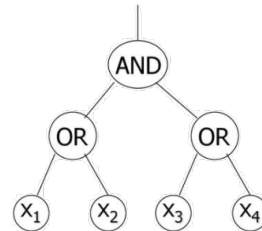
PRIVACY RISK ANALYSIS OF SMART HOMES

[C7]

curates: 4
 from: 3
 generates: 2
 interacts: 4
 is: 4
 to: 3
 transfer: 3

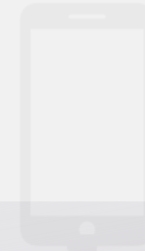


$$\alpha_l = \begin{cases} \prod_{i=1}^n \alpha_{l,i}, & \text{if AND node} \\ \max(\alpha_{l,i}), i = 1 \dots n, & \text{if OR node} \end{cases}$$



$$\begin{aligned} \alpha_l &= \max((\alpha_{l.v_1} \times \alpha_{l.v_2}), \alpha_{l.v_3}, (\alpha_{l.v_4} \times \alpha_{l.v_5}), \alpha_{l.v_6}, \alpha_{l.v_7}, \alpha_{l.v_8}) \\ &= \max((0.2 \times 0.4), \underline{0.8}, (0.1 \times 0.3), 0.3, 0.2, 0.2) \\ &= \max(0.08, \underline{0.8}, 0.03, 0.3, 0.2, 0.2) \\ &= \underline{0.8} \end{aligned}$$

$$\alpha_i = \max(\alpha_{i,i}), i = 1 \dots n, \text{ for both AND or OR node}$$



CHALLENGES AND MITIGATIONS

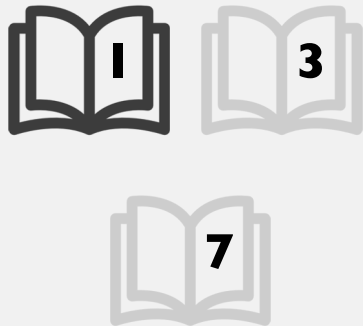


CHALLENGES AND MITIGATIONS

RQ4

What are the challenges in mitigating privacy and security risks in smart connected homes?

– Papers –



– Contributions –

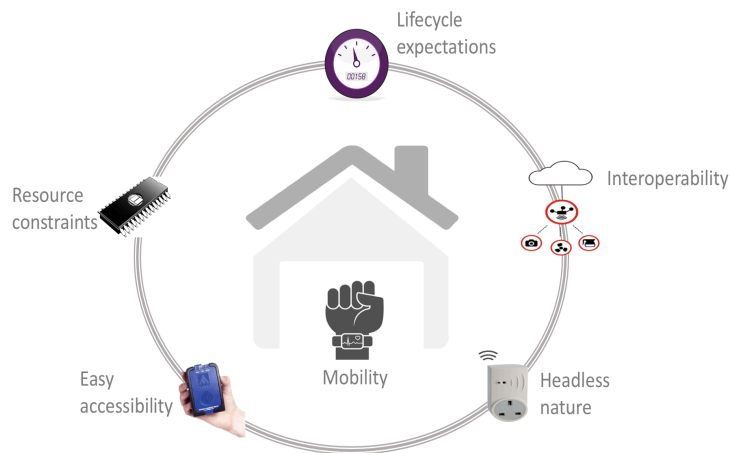
[C8] Identification of security challenges and their mitigations

CHALLENGES AND MITIGATIONS

[C8]

Mitigations :: Architecture level

DEVICE	COMMUNICATION	SERVICE
<ul style="list-style-type: none"> H/W enc, fail-secure design, and device authZ Enhanced algorithms, e.g. DTLS and ECSDA Platforms such as RERUM CC and EMVCo IC SE 	<ul style="list-style-type: none"> VPNs, firewalls, IDS, and IPS TOR-based systems Devices such as Cujo, Dojo, and Keezel ENISA, CSA, etc. 	<ul style="list-style-type: none"> Security testing, secure design, and data masking Cryptographic schemes OWASP, Builditsecure.ly, I Am the Cavalry Sites such as BugCrowd



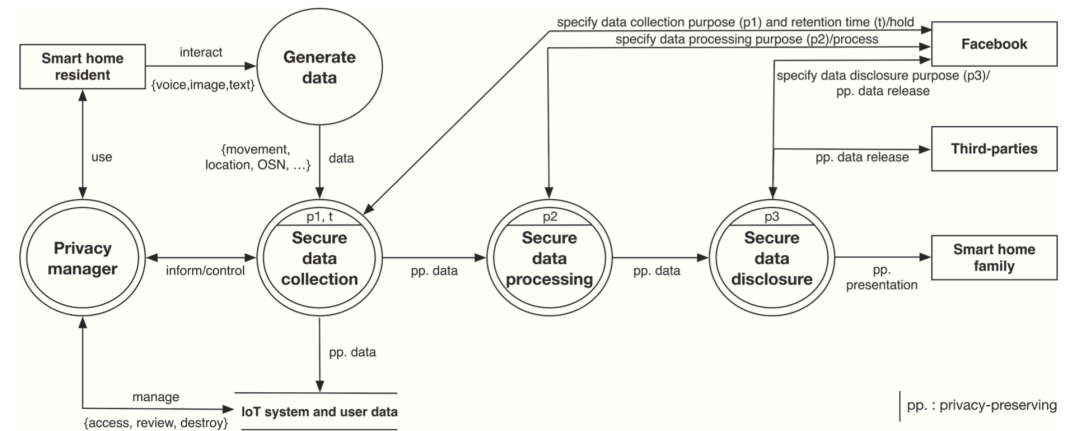
Challenges

Bugeja, J., Jacobsson, A., Davidsson, P. (2016). On Privacy and Security Challenges in Smart Connected Homes (pp. 172–175). In: *Proceedings of the 2016 Intelligence and Security Informatics Conference (EISIC 2016)*. IEEE.



RQ4

Mitigations :: Development lifecycle



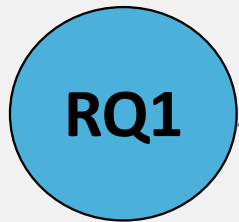
Bugeja, J., Jacobsson A. (2020). On the Design of a Privacy-Centered Data Lifecycle for Smart Living Spaces (pp. 126-141). In: *Friedewald M., Önen M., Lievens E., Krenn S., Fricker S. (eds) Privacy and Identity Management. Data for Better Living: AI and Privacy. Privacy and Identity 2019. IFIP Advances in Information and Communication Technology*, vol 576. Springer.



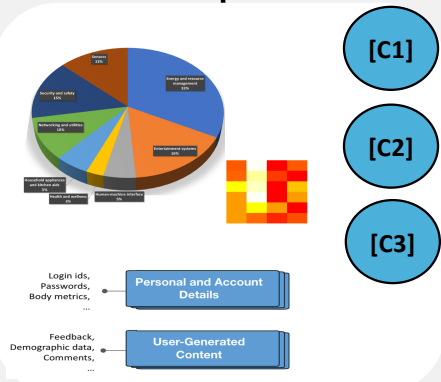
RQ4

SUMMARY OF CONTRIBUTIONS

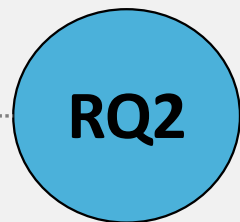
{assets}



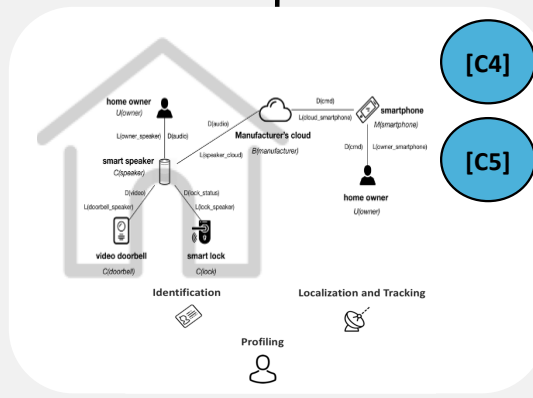
Papers: 3,5,6,8



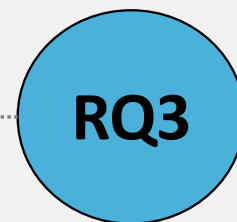
{threats}



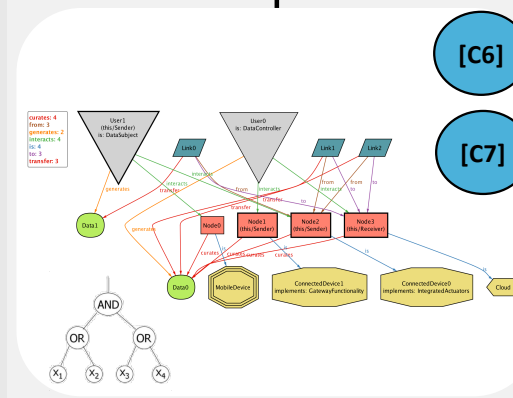
Papers: 7,9



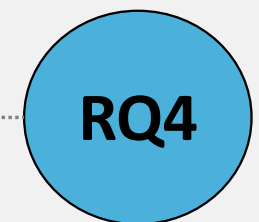
{risks}



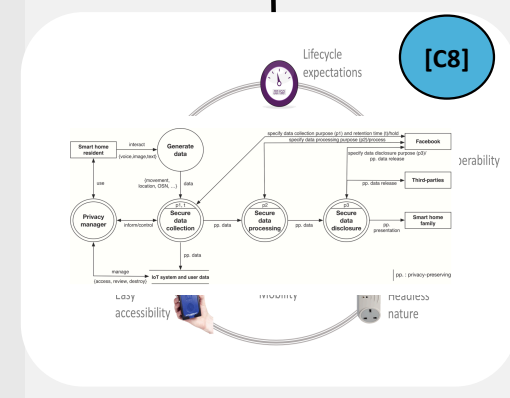
Papers: 2,4,10



{mitigations}



Papers: 1,3,7





CONCLUSIONS AND FUTURE WORK

CONCLUSIONS

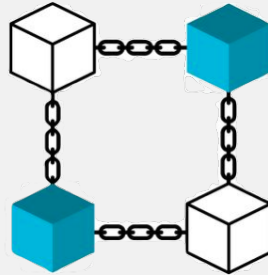
- Threat agents are finding ways to learn how to tap into the smart connected home and looking for new ways to attack in-home technologies.
- In the dissertation, we presented contributions that enable early identification of threats, better planning for risks, and enable informed decisions about mitigations of potential impacts.
- The presented contributions provide a foundation that helps deepen the understanding of privacy and security in smart connected homes.



FUTURE WORK



Embedding privacy- and security-enhancing mechanisms into connected devices



Blockchain as a privacy- and security-enhancing mechanism



AI as a mechanism for automatically responding to threats

Thank you for your attention!



Joseph Bugeja

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Source: mau.se